

PTO/SB/22 (05-03)

Approved for use through 4/30/2003, OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)		Docket Number (Optional) 551512/0062
In re Application of Akira Uchiyama		
Application Number 09/606,878		Filed June 29, 2000
For METHOD OF TREATING A SURFACE OF A SUBSTRATE...		
Art Unit 1762		Examiner Brian K. Talbot

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows (check time period desired):

☐ One month (37 CFR 1.17(a)(1)) \$ _____

☒ Two months (37 CFR 1.17(a)(2)) \$ **300 (\$110 prev. paid)**

☐ Three months (37 CFR 1.17(a)(3)) \$ _____

☐ Four months (37 CFR 1.17(a)(4)) \$ _____

☐ Five months (37 CFR 1.17(a)(5)) \$ _____

☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: \$ _____.

☐ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Director has already been authorized to change fees in this application to a Deposit Account.

☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number **19-4709**.

I have enclosed a duplicate copy of this sheet.

I am the ☐ applicant/inventor.

☐ assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed (Form PTO/SB/96).

☐ attorney or agent of record.

☒ attorney or agent under 37 CFR 1.34(a).
Registration number if acting under 37 CFR 1.34(a) **32,716**.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

May 15, 2003
Date

(212) 806-5400
Telephone Number

David L. Schaeffer
Signature

David L. Schaeffer, Reg. No. 32,716
Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 1 forms are submitted.

05/19/2003 FJACKSON 09/606,878, 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. The collection is estimated to take 6 minutes to complete, 300,000,000 engineering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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TO	COMPANY NAME	FAX NO.	PHONE NO.
Examiner B. Talbot	Group Art Unit 1762 United States Patent and Trademark Office	703-872-9311	703-305-3775

TOTAL NO. OF PAGES

4

FROM

David L. Schaeffer, Reg. No. 32,716

SENDER'S FAX NUMBER

212-806-6006

SENDER'S PHONE NO.

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ROOM NO.

3869

ATTORNEY NO.

3551

CLIENT/MATTER NAME

U.S. Patent Appln. No. 09/606,878

CLIENT/MATTER NO.

551512/0062

MESSAGE

Please bring these papers to Mr. Talbot.

CONFIDENTIAL

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PTO/SB/30 (05-03)

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Request For Continued Examination (RCE) Transmittal

Address to:
Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Application Number	09/606,878
Filing Date	June 29, 2000
First Named Inventor	Akira Uchiyama
Art Unit	1762
Examiner Name	Brian K. Talbot
Attorney Docket Number	551512/0062

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☒ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- ii. ☒ Other **Amendment After Final Rejection filed 4/18/03**
- b. ☐ Enclosed
- i. ☐ Amendment/Reply
- iii. ☐ Information Disclosure Statement (IDS)
- ii. ☐ Affidavit(s)/Declaration(s)
- iv. ☐ Other _____

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☒ Other **Petition for Extension of Time filed herewith**

3. Fees

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge the following fees, or credit any overpayments, to

- a. ☒ Deposit Account No. **19-4709**
- i. ☒ RCE fee required under 37 CFR 1.17(e) **\$750.00**
- ii. ☐ Extension of time fee (37 CFR 1.138 and 1.17) **\$** _____
- iii. ☐ Other _____
- b. ☐ Check in the amount of \$ _____ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	David L. Schaeffer	Registration No. (Attorney/Agent)	132,716
Signature	<i>David L. Schaeffer</i>	Date	May 15, 2003

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	David L. Schaeffer	Date	May 15, 2003
Signature	<i>David L. Schaeffer</i>		

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Docket No.
551512/0062
LR:DLS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: **Akira Uchiyama**

Group Art Unit: **1762**

Application No.: **09/606,878**

Examiner: **Brian K. Talbot**

Filed: **June 29, 2000**

For: **METHOD OF TREATING A SURFACE OF A SUBSTRATE
CONTAINING TITANIUM FOR AN ORNAMENT**

Date: **May 15, 2003**

CERTIFICATE OF TRANSMISSION
BY FACSIMILE (37 C.F.R. § 1.8)

Mail Stop RCE

Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

I hereby certify that the following correspondence:

**Request for Continued Examination (PTO/SB/30) and Petition for Extension of Time Under
37 CFR 1.136(a) (PTO/SB/22)**

is being transmitted by facsimile to the United States Patent and Trademark Office in
accordance with 37 C.F.R. § 1.8 on the following date:

May 15, 2003

(Typed Or Printed Name Of Person Signing this Certificate)

David J. Schaeffer

(Signature)

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